



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/750,586

12/29/2003

Martin R. Willard

1001.1714101

8579

28075 7590 01/10/2007  
CROMPTON, SEAGER & TUFTE, LLC  
1221 NICOLLET AVENUE  
SUITE 800  
MINNEAPOLIS, MN 55403-2420

EXAMINER

BRUENJES, CHRISTOPHER P

ART UNIT

PAPER NUMBER

1772

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
--	-----------	---------------

3 MONTHS

01/10/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

## Office Action Summary

Application No.

10/750,586

Applicant(s)

WILLARD ET AL.

Examiner

Christopher P. Bruenjes

Art Unit

1772

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 26-43 is/are pending in the application.
- 4a) Of the above claim(s) 26 and 27 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 28-43 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

Art Unit: 1772

**DETAILED ACTION**

***WITHDRAWN REJECTIONS***

1. The 35 U.S.C. 112 rejections of claims 1-10 and 12-25 of record in the office Action mailed August 2, 2006, Pages 2-4 Paragraph 3, have been withdrawn due to Applicant's cancellation of the claims in the Paper filed October 31, 2006.

2. The 35 U.S.C. 103 rejections of claims 1-10 and 12-25 over Itou in view of Utsumi of record in the Office Action mailed August 2, 2006, Pages 5-11 Paragraph 6, have been withdrawn due to Applicant's cancellation of the claims in the Paper filed October 31, 2006.

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 1772

4. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

5. Claims 28-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Itou et al (EP 1 068 876 A2) in view of Utsumi et al (USPN 5,258,160).

Regarding claims 28 and 29, Itou et al teach a catheter shaft comprising a proximal portion, a distal portion, and an intermediate portion between the proximal and distal portions (col.3, 1.23-27). A first resin layer is arranged in a first region of the tubular member and consists of a first resin material disposed in a dense spiral or mesh and a second resin material disposed in a sparse spiral or mesh, and a second resin layer is arranged in a second region of the tubular member and consists of the second resin material disposed in a dense spiral or mesh and the first resin material disposed in a sparse spiral or mesh. The intermediate region between the first and second regions consists of the first resin material disposed in a

Art Unit: 1772

spiral or mesh of a disposing density intermediate between the disposing densities in the first and second regions and the second resin material disposed in a spiral or mesh in a disposing density intermediate between the disposing densities in the first and second regions (col.3, 1.27-45). The first region represents the proximal portion and the second region represents the distal portion of the catheter shaft (col.3, 1.46-50). The first resin material has a flexural rigidity higher than that of the second resin material (col.4, 1.23-25). Therefore, Itou et al teach that the proximal portion is predominantly a more rigid resin and the distal portion is predominantly a less rigid resin. After the spiral shaped material is disposed in the shaft the first and second materials are melted and mixed or fused and then solidified (col.4, 1.26-33). Therefore, the layer is a blend of the two materials. Itou et al specifically states that the first and second materials are melted completely and solidified in a uniformly mixed or fused state (col.15, 1.29-32). If a material is uniformly mixed then it is homogenously blended. Specifically, with regard to claims 28-29, the proximal portion has a concentration of the more rigid material within the claimed range of 80 to 95% by weight and a concentration of the less rigid material within the claimed range of 5 to 20% (col.10,

Art Unit: 1772

1.32-37). The distal portion has a concentration of the more rigid material within the claimed range of 5 to 20% and a concentration of the less rigid material within the claimed range of 80 to 95% (col.10, 1.38-43). The intermediate portion obviously has a concentration of the more rigid material within the claimed range of 20 and 50% and a concentration of the less rigid material within the claimed range of 50 to 80%, since the concentration of the two materials are values between the values of the concentration of the respective materials in the proximal and distal portions. The materials chosen for the formation of the blend material are a combination of at least two material chosen from a group that includes polyoxymethylene and polyester elastomers (col.9, 1.13-30), in which the polyester elastomers is described as a polyether polyester (col.11, 1.46-53).

Regarding claims 30 and 39, the catheter shaft further comprises an inner polytetrafluoroethylene tubular member disposed within the polymer blend shaft (col.11, 1.19-31 as the base tube or col.12, 1.24-33 as the low friction layer). Regarding claims 32 and 40, the catheter shaft further comprises a braided metallic support member disposed between the inner polytetrafluoroethylene tubular member and the polymer blend shaft (col.11, 1.57 - col.12, 1.11). Regarding claims 37-38 and 42-43, the catheter shaft further comprises a distal tip coupled

Art Unit: 1772

to the distal portion of the catheter shaft made completely from the less rigid material (col.3, 1.19-22). Regarding claim 31, the inner layer comprises polyethylene (col.11, 1.19-31 as the base tube or col.12, 1.24-33 as the low friction layer).

Regarding claim 33, the support member includes a coil (col.12, 1.4-6). Regarding claim 36, Itou et al teach that the catheter shaft taught is used in the manufacture of a balloon catheter (col.23, 1.21-32) having the limitations of claim 39 shown above, and would necessarily have a balloon coupled to the distal portion of the outer tubular member in order to be considered a balloon catheter. Regarding claim 34, the inner tubular member defines a guidewire lumen extending therethrough (col.12, 1.41-47). Regarding claim 35, the balloon catheter obviously contains an inflation lumen between the inner tubular member and outer tubular member because the catheter is a balloon catheter and balloon catheters require an inflation lumen.

Itou et al fail to explicitly teach that polyoxymethylene is chosen as the more rigid material and that the polyether polyester is chosen as the less rigid material. However, Utsumi et al teach that in the art of forming catheters having a varying rigidity longitudinally throughout the catheter, polyester elastomer such as polyether polyester taught by Itou

Art Unit: 1772

et al is commonly used as a the flexible material, and polyoxymethylene taught by Itou et al is commonly used as a rigid material. One of ordinary skill in the art would have recognized that Itou et al teach that polyoxymethylene and polyether polyester are materials that are used in the formation of the polymer blend layer of the catheter of Itou et al and that polyoxymethylene is a known torque transmitting material for formation of rigidity varying catheters and that polyether polyester is a known flexible material for formation of rigidity varying catheters, as taught by Utsumi et al.

Therefore, it would have been obvious to select polyoxymethylene as the more rigid material of Itou et al and polyether polyester as the less rigid material of Itou et al, since polyoxymethylene is known in the art as a commonly used rigid material for this particular purpose and polyether polyester is known in the art as a commonly used flexible material for this particular purpose, as taught by Utsumi et al, and it would be obvious to select materials from the group taught in Itou et al to produce the catheter shaft of Itou et al.

Regarding claim 41, Itou et al teach that the proximal portion, intermediate portion, and distal portion define a total shaft length and that the lengths of the individual regions



Art Unit: 1772

depend on the shape, kind, etc., of the catheter, and are not particularly limited (col.26, 1.10-12). Itou et al goes on to teach the lengths of the regions with regard to one particular type of catheter, in which the proximal portion (formed of regions 22 and 23 combined) is 580 to 1150 mm, the intermediate portion (region 24) is 20 to 80mm, and the distal portion (region 25) is 5 to 20mm (col.26, 1.12-20). Note the region 26 is the distal tip. It would have been obvious to one having ordinary skill in the art at the time Applicant's invention was made to select the lengths of the individual portions within the claimed ranges, since the lengths would be determined based on the size, kind, type, etc., of the catheter and based on the fact that the cited example teaches length ranges that overlap with the claimed ranges.

#### ***Response to Arguments***

6. Applicant's arguments regarding the 35 U.S.C. 112 rejections of record have been considered but they are moot since the rejections have been withdrawn.

7. Applicant's arguments regarding the 35 U.S.C. 103 rejections of claims 28 and 29 over Itou et al in view of Utsumi et al have been fully considered but they are not persuasive.

Art Unit: 1772

In response to Applicant's argument that Itou et al fail to teach and could not form a homogenously blend of the rigid and flexible materials, Itou et al specifically teaches that the two materials are uniformly mixed (col.15, 1.29-32). If two materials are uniformly mixed then they are homogeneously blended.

### **Conclusion**

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to

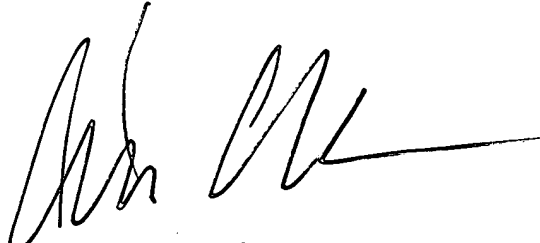
Art Unit: 1772

Christopher P. Bruenjes whose telephone number is 571-272-1489. The examiner can normally be reached on Monday thru Friday from 8:00am-4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on 571-272-1498. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Christopher P Bruenjes  
Examiner  
Art Unit 1772  
CPB CPB  
January 5, 2007



LUCIA CHEVALIER  
PRIMARY EXAMINER